



Department for
Business, Energy
& Industrial Strategy

Department for Business, Energy &
Industrial Strategy
1 Victoria Street
London SW1H 0ET
www.gov.uk/beis

Dan Lambert
Chief Operating Officer
Smart DCC Limited

30 March 2020

Dear Dan,

APPROVAL OF NEW DCC PLAN PURSUANT TO CONDITION 13A (ENDURING CHANGE OF SUPPLIER ARRANGEMENTS) OF DCC LICENCE

On 1 August 2019 the Secretary of State directed DCC to prepare a formal plan for the design, development and implementation of the systems, processes, and procedures intended to comprise the Enduring Change of Supplier (ECoS) Arrangements.

Between 23 January and 21 February 2020 DCC, DCC consulted on a proposed ECoS plan with the SEC Panel and all SEC Parties. Then on 16 March, you submitted a summary of consultation responses and a final LC13A plan to the Secretary of State for approval.

Having duly considered the plan submitted for approval, along with DCC's summary of how it took into account consultation responses, the ECoS plan (as set out in the **Annex** to this letter) is hereby approved by the Secretary of State pursuant to Condition 13A.7 of the DCC Licence.

DCC must now take all reasonable steps to give effect to the approved plan under Condition 13A.8 of the DCC Licence. And to provide planning clarity for all stakeholders, I ask that DCC now works with the secretariat of the Implementation Managers Forum (IMF) to add appropriate key ECoS delivery milestones on to the Joint Industry Plan (JIP).

Yours sincerely,

Duncan Stone
Deputy Director & Head of Delivery
Smart Metering Implementation Programme

(An official of the Department for Business, Energy & Industrial Strategy authorised to act on behalf of the Secretary of State)

cc
Peter Davies, SEC Panel Chair
Jacqui Russell, Ofgem

ANNEX – Approved DCC ECoS Plan

Ref.	Milestone	Responsibility	Date	Definition
Proc1	Change Request to be raised with DSP	DCC	31/03/2020	This Milestone represents when a Change Request will be raised with the DSP for those changes required within the core system to accommodate ECoS
Proc 2	Distribution of ECoS Request for Proposal (RFP)	DCC	31/03/2020	This Milestone represents when the RFP for ECoS Services is distributed to service providers
Proc3	ECoS Contracts Awarded for ECoS Design Build and Test	DCC	29/01/2021	This milestone represents when the contract for ECoS Design, Build and Test will be signed and agreed by the preferred suppliers.
SEC01	Baseline ECoS Security Architecture	DCC / Service Providers / CIO	22/03/2021	Define the Security architecture and controls to be implemented in the ECoS Design.
Device01	Communicate to Device Manufacturers and Service Providers delivery requirements to support testing phases	DCC	31/03/2021	This milestone represents the latest date when device manufacturers will be informed of delivery requirements to support the ECoS testing phases
Proc4	ECoS Contracts Awarded for ECoS Hosting Services	DCC	02/04/2021	This milestone represents when the contract for ECoS for Hosting Services will be signed and agreed by the preferred suppliers.
Des01	Completion of the ECoS Design Phase	DCC	30/04/2021	This milestone represents the completion of the ECoS design Phase. Design Phase will provide design assurance to other functions throughout including the provision of design specifications for approval by DCC, SSC and TABASC.
Proc5	ECoS Contracts Awarded for	DCC	28/05/2021	This milestone represents when the contract for ECoS for Service

	ECoS Service Management			Management will be signed and agreed by the preferred suppliers.
SEC02	CIO final report on ECoS Design Phase	DCC / Service Providers / CIO	31/05/2021	As part of Des01. ECoS controls implemented into E2E design: CIO Design Phase Report considered by Security Sub-committee (and SMKI PMA as required)
SVTAD01	Completion of SVTAD	DCC	04/06/2021	This milestone represents the completion of the development of and consultation on the SVTAD, ready for designation
Device02	Manufacturing Test Pack released	DCC Security/Device Management	30/06/2021	This milestone represents when the Device manufacturers will be in receipt of the Manufacturing test pack
Bui01	Completion of the ECoS Build Phase	DCC/Service Providers	08/10/2021	This milestone represents the completion of the ECoS Build phase
SEC03	CIO final report on ECoS Build Phase	DCC / Service Providers / CIO	08/10/2021	This milestone represents the completion of the build phase with security controls: CIO Build Phase Report considered by Security Sub-committee (and SMKI PMA as required)
Test01	Pre-Integration Test (PIT) Phase commence	DCC/Service Providers	11/10/2021	This Milestone represents when the PIT phase commences. All the Entry criteria outlined in the approved Test Approach document for ECoS have been achieved as determined by DCC.
Device03	Test Devices Released from Device Manufacturers	DCC (to communicate requirements) Energy suppliers/ Device Manufacturers	31/10/2021	This milestone represents when device manufacturers must make devices available for the ECoS test phases
Test02	PIT Phase Completed	DCC/Service Providers	03/12/2021	This milestone represents the point at which the test Phase Complete Certificate is issued by DCC in respect of PIT Test Phase for ECoS.
Test03	System Integration Test	DCC/Service Providers	06/12/2021	This Milestone represents when the SIT phase commences. All the Entry criteria outlined in the approved Test Approach

	(SIT) Phase commences			document for ECoS have been achieved as determined by DCC.
Test04	SIT Phase Completed	DCC/Service Providers	25/02/2022	This milestone represents the point at which the DCC has completed the SIT Phase (Solution Test, Devise Integration Test, Service Provider User Acceptance Test (UAT) and SIT External Audit phase by meeting the exit criteria set out in the Testing Approach Document for ECoS as determined by DCC and Approved by Testing Advisory Group
Test05	User Integration Test (UIT) Phase Commence	DCC/Service Providers and end Users	28/02/2022	This Milestone represents when the UIT phase commences. All the Entry criteria outlined in the approved Test Approach document for ECoS have been achieved as determined by DCC.
SEC04	CIO final report on ECoS Test Phase	DCC / Service Providers / CIO	10/05/2022	This milestone represents the completion of the independent Security Assessment undertaken under Appendix 2 of Schedule 5 of the associated licence conditions: CIO Test Phase Report considered by Security Sub-committee (and SMKI PMA as required)
SEC05	Final LSC security documentation pack	DCC / Service Providers	10/05/2022	This milestone represents the documentation required by the SSC (and SMKI PMA as required) to provide input into the SEC Panel's response to BEIS as to whether the ECoS solution has met the agreed criteria to go live.
Reg01	Regulatory products in Place to Support ECoS Go-Live	DCC	10/05/2022	This milestone represents when all necessary subsidiary documents have been produced and consulted on, ready for (re)-designation as required.
Test06	User Integration Test (UIT) Phase Completed	DCC/Service Providers/ end Users	13/05/2022	This milestone represents the point at which the DCC has completed the UIT Phase by meeting the exit criteria set out in the Testing Approach Document for ECoS as determined by DCC and Approved by Testing Advisory Group and SEC Panel.

Test07	ECoS Test phase Completed	DCC/Service Providers and end users	13/05/2022	This milestone represents when the Test Phase of the DBT (Design Build Test) Phase has completed.
Go1	ECoS Go-Live	DCC	30/06/2022	This milestone represents approval by BEIS for ECoS commencing operation in the live environment following completion of all governance against Live Service Criteria, by re-designating the transition document.
Device04	Manufacturing Pack released	DCC	30/06/2022	This milestone represents the point where DCC will make ECoS Certificates available. Energy suppliers will need to arrange for their contracted device manufacturers to apply them to devices.
Mig01	TCoS to ECoS Migration Complete	DCC/Service Providers and end users	14/04/2023	This milestone represents when the Transition Phase of TCoS Migration has been completed.