



**Further consultation on the date for re-  
designation of regulatory changes  
covering SMETS1 Uplift 1.2 and CoS  
Dormancy**

**Filename: date\_con\_consultation\_issued**

**Date: 21 October 2020**

**Respond by: 1600 on 28 October 2020**

**Author: [consultations@smartdcc.co.uk](mailto:consultations@smartdcc.co.uk)**

**Classification: DCC Public**

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# 1. Introduction and Context

This document is a further consultation on the date to incorporate new versions of the SMETS1 Transition and Migration Approach Document (TMAD), the SMETS1 Supporting Requirements (S1SR) (which includes the Device Model Variations to Equivalent Steps 'DMVES' as Annex E), the DCC User Interface Specification (DUIS) and the Service Request Processing Document (SRPD) into the Smart Energy Code (SEC) to support the enrolment of first-generation smart meter devices (SMETS1) in the national smart meter communications network managed by the Data and Communications Company (the DCC).

This consultation, on behalf of the Department for Business, Energy and Industrial Strategy (BEIS), covers regulatory changes related to CoS Dormancy and SMETS1 Uplift 1.2.

## 2. Background

### 2.1. Changes to the SMETS1 TMAD for CoS Dormancy

Between 18 December 2019 and 22 January 2020, DCC ran a consultation on changes to the TMAD related to FOC which also covered an issue whereby a change of supplier event may lead to a device becoming dormant; the consultation documentation is available on DCC's website via [www.smartdcc.co.uk/customer-hub/consultations/tmad-for-foc/](http://www.smartdcc.co.uk/customer-hub/consultations/tmad-for-foc/). To provide a more favourable customer experience, DCC proposed that the notification period to Energy Suppliers should be reduced to facilitate dormant meter migrations in a reduced timeframe. DCC did not consult on a re-designation date at this time for these changes to the TMAD.

DCC published its conclusions on changes to the TMAD on 7 May 2020 and included an overview of the six responses; the conclusion documentation is available on DCC's website via [www.smartdcc.co.uk/customer-hub/consultations/dcc-responses/dcc-consultation-response-to-the-dcc-consultation-on-changes-to-tmad-for-change-of-supplier-dormancy/](http://www.smartdcc.co.uk/customer-hub/consultations/dcc-responses/dcc-consultation-response-to-the-dcc-consultation-on-changes-to-tmad-for-change-of-supplier-dormancy/). Some respondents were concerned about the potential for manual intervention to be increased because of DCC's proposed change. Subsequent to the conclusions document, DCC has provided the Secretary of State with additional assurance that the level of additional manual intervention required is not onerous and is manageable. DCC confirms that it is operationally ready to adopt these shorter timescales for CoS dormancy for IOC and MOC.

Since DCC published its conclusions, the FOC design has matured and new information has been provided by DCC showing how the process will operate under FOC. This will differ from the process for IOC and MOC given the differences to the firmware upgrade process. It is important to note that DCC expects that the average number of days which can be saved over existing processes for enabling smart services to be re-provisioned to be lower for FOC than for other capability releases.

### 2.2. Changes for SMETS1 Core Uplift 1.2

Between 6 August 2020 and 3 September 2020, DCC issued a consultation on changes to DUIS, SRPD, TMAD and S1SR to provide incremental improvements to the DCC's SMETS1 service; the consultation documentation is available on DCC's website via [www.smartdcc.co.uk/customer-hub/consultations/consultation-on-regulatory-changes-for-smets1-uplift-12/](http://www.smartdcc.co.uk/customer-hub/consultations/consultation-on-regulatory-changes-for-smets1-uplift-12/). This included proposals for:

- DCC to provide the revised engineering menu PIN to the Installing Supplier in respect of Elster Gas Meters where it had erroneously been changed;
- amending the validation of MPRNs to account for leading zeros for IOC;
- the ability to update firmware updates on SMETS1 PPMIDs; and
- included a proposed re-designation date of 29 September 2020 (or, if necessary, as soon as reasonably practicable within one month thereafter).

The DCC published its conclusions on SMETS1 Uplift 1.2 to the Secretary of State on 25 September 2020 which included a summary of the five responses; the conclusion documentation is available on DCC's website via [www.smartdcc.co.uk/customer-hub/consultations/dcc-responses/smets1-conclusion-on-regulatory-changes-for-smets1-uplift-12/](http://www.smartdcc.co.uk/customer-hub/consultations/dcc-responses/smets1-conclusion-on-regulatory-changes-for-smets1-uplift-12/).

Although DCC uplifted the production environment on 29 September 2020 to reflect the solution changes delivered in support of SMETS1 Uplift 1.2, the process through which a transitional tool is being used to insert security keys for the PPMIDs that have already been migrated to enable firmware updates is not expected to complete until the end of October. Consequently, DCC is not capable of supporting firmware upgrades until then and thus the re-designation date covered by the SMETS1 Uplift 1.2 consultation will have expired.

### 3. Next Steps

In order to facilitate the re-designation of the TMAD, S1SR, SRPD and DUIS, DCC is now seeking views, on behalf of BEIS, on the proposed date for re-designation of the TMAD, S1SR, SRPD and DUIS for the changes related to COS Dormancy and SMETS1 Uplift 1.2 as well as the draft direction which is presented in Attachment 1 of this consultation document for stakeholder consideration.

Following the closure of this consultation, DCC will submit a conclusions document to BEIS on the outcome of this consultation. DCC is aiming to providing this conclusions report to BEIS no later than Friday 30 October 2020. DCC has discussed the re-designation of the TMAD, S1SR, SRPD, and DUIS with BEIS and it is proposed that, subject to the timely receipt of DCC's report, and copies of relevant stakeholder responses to this consultation, BEIS will re-designate the TMAD, S1SR, SRPD, and DUIS on Tuesday 3 November 2020 (or, if necessary, as soon as reasonably practicable within one month thereafter).

#### Dates Q1

Do you agree with the proposed re-designation date of Tuesday 3 November 2020 (or, if necessary, as soon as reasonably practicable within one month thereafter) for the updates related to CoS Dormancy and SMETS1 Uplift 1.2 to the document set (TMAD, S1SR, SRPD, and DUIS) using draft notification at Attachment 1?

### 4. How to Respond

Please provide responses by 1600 on Wednesday 28 October 2020 to DCC at [consultations@smartdcc.co.uk](mailto:consultations@smartdcc.co.uk).

Consultation responses may be published on our website [www.smartdcc.co.uk](http://www.smartdcc.co.uk). Please state clearly in writing whether you want all or any part, of your consultation to be treated as confidential. It would be helpful if you could explain to us why you regard the information you have provided as confidential. Please note that responses in their entirety (including any text marked confidential) may be made available to the Department for Business, Energy and Industrial Strategy (BEIS) and the Gas and Electricity Markets Authority (the Authority). Information provided to BEIS or the Authority, including personal information, may be subject to publication or disclosure in accordance with the access to information legislation (primarily the Freedom of Information Act 2000, the Data Protection Act 2018 and the Environmental Information Regulations 2004). If BEIS or the Authority receive a request for disclosure of the information we/they will take full account of your explanation (to the extent provided to them), but we/they cannot give an assurance that confidentiality can be maintained in all circumstances. An automatic confidentiality disclaimer generated by your IT system will not, of itself, be regarded by us as a confidentiality request.

If you have any questions about the consultation documents, please contact DCC via [consultations@smartdcc.co.uk](mailto:consultations@smartdcc.co.uk).

# Attachment 1

This attachment contains the text that BEIS plans to use for direction of changes to the TMAD, S1SR, SRPD, and DUIS.

## **TMAD, S1SR, SRPD, and DUIS Draft Direction Text**

*This direction is made for the purposes of the smart meter communication licences granted under the Electricity Act 1989 and the Gas Act 1986 (such licences being the "DCC Licence") and the Smart Energy Code designated by the Secretary of State pursuant to the DCC Licence (such code being the "SEC").*

*Words and expressions used in this direction shall be interpreted in accordance with Section A (Definitions and Interpretation) of the SEC.*

*Pursuant to Condition 22 of the DCC Licence and Section X5 (Incorporation of Certain Documents into this Code) of the SEC, the Secretary of State directs that, with effect from [DD MMM YYYY], the SMETS1 Transition and Migration Approach Document previously designated and incorporated into the SEC as Appendix AL, the DCC User Interface Specification previously designated and incorporated into the SEC as Appendix AD, the Service Request Processing Document previously designated and incorporated into the SEC as Appendix AB, and the SMETS1 Supporting Requirements previously designated and incorporated into the SEC as Appendix AM are hereby re-designated and incorporated in the form set out in Annex [XX], Annex [XX], Annex [XX], and Annex [XX] (respectively) to this direction.*

*For the avoidance of doubt such re-designation of the SMETS1 Transition and Migration Approach Document, the DCC User Interface Specification, the Service Request Processing Document, and the SMETS1 Supporting Requirements shall be without prejudice to anything done under the DCC Licence or the SEC on or after these documents first being designated, or to the continuing effectiveness of anything done under these documents prior to their re-designation (which shall have effect as if done under the re-designated documents).*

*This direction is also being notified to the SEC Administrator.*