

REGISTRATION DATA INTERFACE SPECIFICATION**DEFINITIONS**

In this document, except where the context otherwise requires:

- expressions defined in section A of the Code (Definitions and Interpretation) have the same meaning as is set out in that section; and
- the expressions in the left hand column below shall have the meanings given to them in the right hand column below.

Data Transfer Catalogue	has the meaning given to that expression in the MRA.
DCC Service Flag	means a flag used to indicate the status recorded by DCC of each MPAN or Supply Meter Point with respect to whether a Smart Metering System is Enrolled, Suspended or Withdrawn.
DCC Status File	means the file produced by DCC and transferred to each Network Party's Registration Data Provider detailing the DCC Service Flag of each MPAN or Gas Supply Meter Point registered to that Network Party.
Electricity Registration Data Provider	means a Registration Data Provider appointed by an Electricity Network Party.
FTP	means file transfer protocol, a standard protocol for transmitting files between computers on a network.
FTPS	means FTP with Transport Layer Security.

DCC PUBLIC

Gas Registration Data Provider	means a Registration Data Provider appointed by a Gas Network Party.
<u>Internet Protocol (or IP)</u>	<u>means the commonly used communications protocol enabling the delivery of data packets based on the IP addresses in the packet headers, used in establishing internet communications.</u>
Hot Standby Router Protocol	means the published protocol used to provide redundancy and thus establish a fault-tolerant default gateway, in this case the Registration Data Interface.
Issuer	has the meaning given to that term in the Organisation Certificate Policy
<u>Network Address Translation</u>	<u>means the standard methodology of remapping one IP address space into another by modifying network address information in Internet Protocol (IP) headers while they are in transit across a traffic routing device.</u>
<u>Policy Enforcement Point (or PEP)</u>	<p><u>a logical entity that enforces policies for admission control and policy decisions in response to a request for access. It is the logical boundary between the DCC Systems and connecting systems, namely User Systems and RDP Systems. The PEP ensures that:</u></p> <p><u>(a) the policies in the applicable Code of Connection relevant to the applicable party are being enforced;</u></p> <p><u>(b) there is appropriate separation of the DCC Systems from the connecting systems of the applicable party; and</u></p> <p><u>(c) all the connections to the User Systems, RDP Systems, or DCC Systems are compliant with the same applicable Code of Connection .</u></p>

DCC PUBLIC

Registration Data File	means the file or files containing Registration Data for one or more Network Parties, produced by (or on behalf of) each Network Party and transferred to the DCC detailing the Registration Data for that Network Party pursuant to Section E2 of the Code.
Registration Data Update File	means the Registration Data File sent periodically that records changes to Registration Data.
Registration Data Refresh File	means the Registration Data File containing Registration Data for a subset or full set of MPANs or Supply Meter Points.
Registration Data Update File	<u>means the Registration Data File sent periodically that records changes to Registration Data.</u>
Response File	means a file produced whilst processing a DCC Status File. For each record in the file being processed, the Response File contains either an acknowledgement that the record has been processed successfully or in the case of a failure in processing the record, the validation errors found.
Supported Version	means the latest version of the Data Transfer Catalogue data flow that the DCC supports for use with the Registration Data Interface as listed and as updated from time to time on the DCC Website.
Transport Layer Security (or TLS)	means a protocol that provides for the privacy and integrity of data transferred between communicating applications and their users, using TLS version 1.2 in accordance with RFC5246.

DCC PUBLIC

1. INTRODUCTION**Document Purpose**

- 1.1 Pursuant to Section E2.8 (Registration Data Interface) of the Code, this document is the Registration Data Interface Specification.

2. REGISTRATION DATA INTERFACE**Establishment of the REGIS logical connection**

- 2.1 The DCC shall make the Registration Data Interface available on an Internet Protocol version 4 (IPv4) address range.
- 2.2 Each Registration Data Provider shall use Network Address Translation to remap their internal Internet Protocol addresses to the DCC provided Internet Protocol addresses at the Registration Data Provider's firewall prior to accessing the Registration Data Interface.
- 2.3 Each Registration Data Provider shall use Network Address Translation to remap incoming DCC traffic Internet Protocol addresses from the published Internet Protocol addresses at the Registration Data Provider's firewall to the Internet Protocol addresses the Registration Data Provider has reserved within their subnet.
- 2.4 The DCC shall specify a range of ports and the DCC and each Registration Data Provider shall configure these ports to be open for the FTPS connection.

File Exchange Mechanism

- 2.5 The Registration Data Interface shall utilise FTPS.
- 2.6 The DCC and each Registration Data Provider shall implement FTP, in a standard format conforming to the following internet standards as defined in the referenced Request for Comments (RFC) as published by the Internet Engineering Task Force (IETF) and the Internet Society:
- (a) RFC 959 - FTP; and
 - (b) RFC 2228 – FTP security extensions.

DCC PUBLIC

2.7 The DCC and each Registration Data Provider shall secure the FTP session using ~~Transport Layer Security (TLS)~~, in a standard format conforming to the following internet standards as defined in the referenced ~~Request for Comments (RFC)~~ as published by the ~~Internet Engineering Task Force (IETF)~~ and the Internet Society:

(a) RFC 4217 - Securing FTP with TLS; and

(b) RFC 5246 - TLS version 1.2.

2.8 In accordance with RFC 4217:

(a) each Registration Data Provider shall populate the “USER command” (as defined in RFC 4217) with the RDP Signifier issued to it by the Panel, in lower case; and

(b) the DCC shall populate the “USER command” with the Party Signifier issued to it by the Panel, in lower case.

2.8.2.9 The DCC and each Registration Data Provider shall ensure the session Transport Layer Security is achieved utilising:

(a) the cipher suite TLS_RSA_WITH_AES_128_GCM_SHA256 as catalogued and further defined by the Internet Assigned Numbers Authority within the Cipher Suite Registry; and

(b) DCCKI Certificates for mutual authentication.

2.9.2.10 The DCC and each Registration Data Provider shall ensure that the FTPS session is routed via the DCC's Policy Enforcement Point and the Policy Enforcement Point used by the Registration Data Provider.

2.10.2.11 When sending ~~or receiving~~ a Registration Data File or DCC Status File, the DCC and each Registration Data Provider shall follow steps (a) to (d) below, and when receiving a Registration Data File or DCC Status File the DCC and each Registration Data Provider shall follow steps (e) to (l) below~~the relevant steps in the process below where (a)–(d) relate to the sending of files and all other sub paragraphs relate to the receipt of files:~~

DCC PUBLIC

- (a) structure data files provided under Sections E2.1, E2.2 and E2.4 of the Code, in accordance with the structures defined in clauses 3.14, 3.15, 3.16, 3.24, 3.26 and 3.27 of this document and shall include a unique reference number in accordance with clauses 3.8 and 3.20;
- (b) Digitally Sign the file in accordance with clause 2.13 of this document;
- (c) connect to the recipient's FTPS server in accordance with clauses 2.7 ~~and to~~ 2.9 of this document using a DCC Gateway Connection;
- (d) initiate the transfer of the file to the relevant delivery directory on the recipient's FTPS server utilising FTP push mechanisms for all file exchanges;
- (e) authenticate the source of the file through verifying that the file has been Digitally Signed in accordance with clause 2.13 of this document, and validate the file structure against the structure as defined in clauses 3.14, 3.15, 3.16, 3.24, 3.26 and 3.27 of this document;
- (f) raise an Incident in accordance with the Incident Management Policy, where the recipient is unable to authenticate the file pursuant to clause 2.17 of this document;
- (g) ~~in the case of the~~ Electricity Registration Data Providers only, shall raise an Incident in accordance with the Incident Management Policy, where the Electricity Registration Data Provider is unable to confirm that the file conforms with clause 3.14 of this document;
- (h) ~~in the case of the~~ Registration Data Provider²s only, shall generate a Response File as defined in clause 3.15(d) or 3.26(b) of this document, where the Registration Data Provider is unable to validate the file structure pursuant to clauses 3.16 or 3.27 of this document. The Registration Data Provider shall send the Response File to the DCC using the steps outlined in clauses 2.11(b) to (d) immediately above and on receipt of the Response File containing validation errors the DCC shall raise an Incident as defined in the Incident Management Policy;

DCC PUBLIC

- (i) ~~in the case of~~ the DCC ~~only, shall~~ raise an Incident in accordance with the Incident Management Policy, where the DCC is unable to validate the file structure pursuant to clause [2.11](#)(e) of this document;
- (j) process each record within the file and perform record level validation, where the Registration Data Provider or DCC is able to successfully authenticate and validate the file pursuant to clause [2.11](#)(e) of this document;
- (k) ~~in the case of the~~ Registration Data Providers ~~only, shall~~ generate a Response File as defined in clauses 3.15(d) and 3.26(b) of this document, where the Registration Data Provider is unable to successfully validate and process each record within the file pursuant to clause [2.11](#)(j) of this document. The Registration Data Provider shall send the Response File to the DCC using the steps outlined in clauses [2.11](#)(b) to (d) and on receipt of the Response File containing validation errors the DCC shall raise an Incident in accordance with the Incident Management Policy; and
- (l) ~~in the case of~~ the DCC ~~only, shall~~ raise an Incident in accordance with the Incident Management Policy, where the DCC is unable to successfully validate and process each record within the file pursuant to clause [2.11](#)(j) of this document.

Security Requirements

~~2.11~~2.12 The DCC shall allocate to each Registration Data Provider a separate directory within its FTPS server and permit access only to write files and obtain directory listings within their assigned directory, and not to read, modify or delete files.

~~2.12~~2.13 The DCC and each Registration Data Provider shall Digitally Sign each file sent via the Registration Data Interface with a ~~Private Key~~~~private key~~; for the Registration Data Provider this ~~Private Key~~ ~~private key~~ ~~is shall be~~ associated with an SMKI Organisation Certificate issued to the Registration Data Provider.

DCC PUBLIC

~~2.13~~2.14 The DCC and each Registration Data Provider shall ensure that the Digital Signature shall:

- a) use, as the digital signature technique, Elliptic Curve Digital Signature Algorithm (ECDSA) (as specified in Federal Information Processing Standards Publications (FIPS PUB) 186-4) in combination with the curve P-256 (as specified in FIPS PUB 186-4 at Section D.1.2.3) and SHA-256 as the hash function;
- b) be applied to the entirety of the file including header and trailer; and
- c) be converted to Base64 and appended within the file itself to the trailer with a preceding “,” separator.

~~2.142~~2.15 Prior to Digitally Signing each file, the DCC and each Registration Data Provider shall append to the trailer of the file, the Issuer, which shall be URL encoded (as specified in the ~~Internet Engineering Task Force (IETF) Request for Comments (RFC) 2253~~), and serial number of the SMKI Organisation Certificate with preceding “,” separators.

~~2.15~~—The DCC and each Registration Data Provider may use the

2.16 organisation identifier in the header of the file and the Issuer and serial number in the trailer of the file to retrieve the appropriate public key.

DCC PUBLIC

2.17 The DCC and each Registration Data Provider shall Check Cryptographic Protection on a file using ECDSA (as specified in FIPS PUB 186-4) in combination with the curve P-256 (as specified in FIPS PUB 186-4 at Section D.1.2.3) and SHA-256 as the hash function, and Confirm Validity of the Certificate used to Check Cryptographic Protection.

~~2.17 The DCC and each Registration Data Provider shall verify the Digital Signature on a file using ECDSA (as specified in FIPS PUB 186-4) in combination with the curve P-256 (as specified in FIPS PUB 186-4 at Section D.1.2.3) and SHA-256 as the hash function.~~

2.18 The DCC and each Registration Data Provider shall ensure that the Digital Signature calculation shall:

- (a) be performed on the entire file including header and trailer except the Digital Signature and preceding field separator appended to the trailer;
- (b) ensure that all line termination characters read from the file, except any termination characters in the trailer, shall be normalised to 0x0A; and
- (c) exclude any line termination characters in the trailer.

2.19 Prior to verifying the Digital Signature, the DCC and each Registration Data Provider shall ensure that all line termination characters in the file, except the line termination characters in the trailer, shall be normalised to 0x0A.

Interface Error Handling

Data files not being received when expected

2.20 Identification of an ~~Anomaly~~Anomalous Event:

- (a) the DCC shall perform a check to ensure that the Registration Data Update Files being sent by the Registration Data Provider are consistent with the schedules as described in Section E2.5 (Frequency of ~~Registration~~-Data Exchanges); and
- (b) in the event of the DCC or a Registration Data Provider identifying an

DCC PUBLIC

exception to the agreed schedules, either organisation may raise an Incident in accordance with the Incident Management Policy.

2.21 Connection & Transfer Failures:

- (a) in the event of connection failures or file transfer failures between the Registration Data Provider and the DCC, the originating organisation shall attempt to reconnect and/or resend the file on 3 further occasions at 5 minute intervals; ~~and/or~~
- (b) ~~If~~ the DCC cannot establish a connection with the Registration Data Provider after such number of retries, the DCC shall raise an Incident in accordance with the Incident Management Policy; or
- (c) ~~If~~ the Registration Data Provider fails to establish a connection with the DCC after such number of retries, the Registration Data Provider shall first confirm that the issue does not exist within their own environment and once this has been completed they may raise an Incident in accordance with the Incident Management Policy.

2.22 Authentication Failure:

- (a) In the event of a transport authentication failure where the DCC is trying to send a DCC Status File to a Registration Data Provider, a transport authentication failure will result in no connection or transmission of Registration Data. In such circumstances, the DCC shall raise an Incident in accordance with the Incident Management Policy; or
- (b) In the event of a transport authentication failure where a Registration Data Provider is trying to send a file to the DCC, a transport authentication failure will result in no connection or transmission of Registration Data. In such circumstances the Registration Data Provider shall raise an Incident in accordance with the Incident Management Policy.

Data files not conforming to the Registration Interface Specification

2.23 Identification of an Anomalous Event:

DCC PUBLIC

- (a) The DCC or Registration Data Provider shall perform a check of the conformity of files against the agreed standards set out in ~~section~~clause 3 of this Registration Data Interface Specification; ~~and/or~~
- (b) In the event of either the DCC or a Registration Data Provider being in receipt of a non-conforming file the respective Party-organisation shall raise an Incident in accordance with the Incident Management Policy.

2.24 Validation Failure:

- (a) where a validation failure is identified as a result of a Registration Data Provider file that has been sent to the DCC, the DCC shall raise an Incident in accordance with the Incident Management Policy; or
- (b) where a validation failure is identified as a result of a DCC file that has been sent to the Registration Data Provider, the Registration Data Provider shall raise an Incident in accordance with the Incident Management Policy.

2.25 Other Circumstances:

- (a) ~~I~~In the event of an Incident arising that is not covered by clauses [2.20](#) to [2.24](#) above, a Registration Data Provider shall review its business processes; and
- (b) ~~F~~Following compliance with clause [2.25\(a\)](#) above, and in the event a Registration Data Provider has reasonable grounds to expect the issue to reside within the DCC, the Registration Data Provider shall raise an Incident in accordance with the Incident Management Policy.

Notification of Delays

2.26 In the event that a Registration Data Provider has a planned or unplanned delay to a Registration Data File transfer, the Registration Data Provider shall raise an Incident in accordance with the Incident Management Policy.

2.27 In the event that the DCC has a planned or unplanned delay to a DCC Status File transfer, the DCC shall raise an Incident in accordance with the Incident Management Policy.

3. INTERFACE FILES

General Obligations

- 3.1 The DCC shall maintain a separate unique reference number for each Network Party that it shall apply to all files corresponding to that Network Party that it sends through the Registration Data Interface to that Network Party's Registration Data Provider.
- 3.2 In the event that a file is suspected of being lost, each Registration Data Provider may raise an Incident in accordance with the Incident Management Policy.
- 3.3 Each Electricity Registration Data Provider shall, pursuant to clause [2.11\(j\)](#), reject a record with a DCC Service Flag 'effective from date' for a ~~sSmart~~ ~~mMetering~~ ~~sSystem~~ that is earlier than the DCC Service Flag 'effective from date' previously provided by the DCC for that ~~sSmart~~ ~~mMetering~~ ~~sSystem~~.
- 3.4 Each Gas Registration Data Provider shall detect duplicate files and where detected shall not process duplicate files.
- 3.5 Each Gas Registration Data Provider shall process files in the order they are received.
- 3.6 Each Registration Data Provider and the DCC shall not use file compression on files transferred through the Registration Data Interface.
- 3.7 The DCC shall maintain a minimum of 24 months of the required historic Registration Data within DCC Systems.

Electricity Registration Data File Structure and Data Formats

- 3.8 Each Electricity Registration Data Provider shall maintain a unique reference number for each Electricity Network Party that it shall apply to all files corresponding to that Electricity Network Party that it sends through the Registration Data Interface.
- 3.9 Each Electricity Registration Data Provider shall provide Registration Data Update Files ~~in accordance with~~ the schedule outlined in the Registration Data Interface Code of Connection irrespective of whether there are Registration Data updates to convey. Where there are no Registration Data updates, each Electricity Registration

DCC PUBLIC

Data Provider shall provide a Registration Data Update File containing the standard header, trailer and unique sequence number record and no further data records.

3.10 The DCC and each Electricity Registration Data Provider shall use variable length delimited file format for exchanging files, which meet the following requirements:

- (a) fields shall be separated with “|” (ASCII 124) characters
- (b) only use ASCII characters;
- (c) not exceed the field lengths shown in the flow definitions referenced in clause 3.15 of this document;
- (d) values shall not be padded (with leading zeroes or trailing spaces) where less than the maximum field length;
- (e) fields shall not be enclosed in double quotes;
- (f) no characters shall be entered into fields that are intended to be blank; and
- (g) records shall be terminated with a line feed (ASCII 10) characters.

3.11 Each Electricity Registration Data Provider:

- (a) shall provide a Registration Data Refresh File containing a subset of Registration Data where the DCC so requests in accordance with Section E2.7(b) (Frequency of Registration Data Exchange) of the Code;
- (b) may provide an unsolicited Registration Data Refresh File, which shall not be considered an anomalous event, containing a subset of Registration Data; and
- (c) where both Registration Data Refresh Files under clauses 3.11(a) and 3.11(b) are to be provided on the same day, the Registration Data Provider shall provide one Registration Data Refresh File to meet these combined requirements. The time by which these files need to be sent is set out in the Registration Data Interface Code of Connection.

3.12 Each Electricity Registration Data Provider shall employ a file naming convention that ensures that each of the files it sends through the Registration Data Interface has a unique name.

DCC PUBLIC

3.13 For electricity Registration Data Files, the DCC shall employ a file naming convention that ensures that each file sent through the Registration Data Interface has a unique name, using the following items separated by the underscore character, giving the overall naming layout: DCCO_D0123_123456 where:

- (a) 'DCCO' is the organisation identifier (as defined by the MRA);
- (b) 'D0123' is the flow reference (as defined by the MRA); and
- (c) '123456' is a unique reference number (unique within DCC files for each Electricity Network Party).

3.14 Each Electricity Registration Data Provider and DCC shall ensure that all files contain header and trailer records that conform to the formats as specified below:

- (a) File Header

Data Item	Format	Optionality	Comment
Group Header	CHAR(3)	Mandatory	'ZHV'
File Identifier	CHAR(10)	Mandatory	File identifier - unique within market participant
Data flow and Version Number	CHAR(8)	Mandatory	Dxxxxnnn Consists of 5 char data flow reference followed by 3 char flow version number - where 'n' has a range of 0-9 e.g. 001, 105....
From Market Participant Role Code	CHAR(1)	Mandatory	e.g. Registration systems have value P
From Market Participant Id	CHAR(4)	Mandatory	e.g. DCC has value DCCO
To Market Participant Role Code	CHAR(1)	Mandatory	e.g. DCC has value Z
To Market Participant Id	CHAR(4)	Mandatory	e.g. DCC has value DCCO
File creation timestamp	CHAR(14)	Mandatory	DATETIME (GMT) DCC is using UTC Formatted: YYYYMMDDHHMMSS
Sending Application Id	CHAR(5)	Optional	Application identifier. For possible future use
Receiving Application Id	CHAR(5)	Optional	Application identifier. For possible future use
Broadcast	CHAR(1)	Optional	For possible future use.

DCC PUBLIC

Test data flag	CHAR(4)	Optional	Indicates whether or not this file contains test data. All operational (non-test) files shall contain the value OPER
----------------	---------	----------	---

(b) File Trailer

Data Item	Format	Optionality	Comment
Group Name	CHAR(3)	Mandatory	'ZPT'
File identifier	CHAR(10)	Mandatory	File identifier - unique within market participant
Total Group Count	INT (10)	Mandatory	Total number of groups in file excluding header/trailer
Checksum	INT (10)	Optional	Checksum
Flow count	INT (8)	Mandatory	Number of flow instances excluding file header/trailer
File completion timestamp	CHAR(14)	Optional	DATETIME (GMT) DCC is using UTC Formatted: YYYYMMDDHHMMSS

3.15 Each Electricity Registration Data Provider shall provide the following files, which shall conform to the latest Supported Version of the specified data flow structures as defined in the Data Transfer Catalogue:

(a) Initial upload and full Registration Data Refresh File

To provide the DCC with an initial population of Registration Data and any subsequent full Registration Data refresh, each Electricity Registration Data Provider shall send a Registration Data Refresh File as specified in the Data Transfer Catalogue D0353 data flow;

(b) Registration Data Update File

To notify the DCC of any changes to relevant Registration Data, each Electricity Registration Data Provider shall send a Registration Data Update File as specified in the Data Transfer Catalogue D0348 data flow.

DCC PUBLIC

(c) Registration Data Refresh File - Partial refresh

To provide the DCC with a partial refresh of Registration Data, each Electricity Registration Data Provider shall send a Registration Data Refresh File as specified in the Data Transfer Catalogue D0349 data flow;

(d) Response File - DCC Service Flag update rejections

To notify the DCC of any data records rejected during processing of a DCC Status File due to validation errors, each Electricity Registration Data Provider shall send a Response File as specified in the Data Transfer Catalogue D0351 data flow; and

(e) Response File - DCC Service Flag update acknowledgement

To notify the DCC of successful processing of the DCC Status File, each Electricity Registration Data Provider shall send a Response File as specified in the Data Transfer Catalogue D0172 data flow.

3.16 The DCC shall provide the following files to each Electricity Registration Data Provider conforming to the data flow structures as defined in the Data Transfer Catalogue:

(a) DCC Status File

To notify Electricity Network Parties of DCC Service Flag updates and the identity of the person that the DCC believes to be registered in relation to an MPAN as set out in Section E2.4 of the Code, the DCC shall send a DCC Status File as specified in the Data Transfer Catalogue D0350 data flow.

3.17 Clauses 3.15(a), 3.15(b), and 3.15(c) constitutes the Registration Data that is to be provided by Electricity Registration Data Providers to the DCC under Section E2.1 of the SECCode.

3.18 Clause 3.16 constitutes the data that is to be provided by the DCC to Registration Data Providers under Section E2.4 (a) of the SECCode.

DCC PUBLIC

- 3.19 Each Electricity Registration Data Provider shall provide Registration Data Refresh Files utilising the FTPS connection or via an alternative means as agreed between the DCC and the Electricity Registration Data Provider (provided that any such alternative means must incorporate the use of security controls that are at least as robust as those that apply to the FTPS connection).

Gas Registration Data File Structure and Data Formats

- 3.20 Each Gas Registration Data Provider shall maintain a unique reference number that it shall apply to each file it sends through the Registration Data Interface. Each file (with the exception of the multiple file confirmation file as defined in clause 3.26(c) of this document) shall include this unique reference number within the file header, taken from a monotonically increasing number generator. The DCC shall check this unique reference number in order to detect duplicate, missing or out of sequence files.
- 3.21 The DCC and each Gas Registration Data Provider shall use comma separated file format for exchanging files, and each shall~~to~~ ensure that all of the files that it send~~exchange~~ meet the following requirements:
- (a) fields shall be comma-separated;
 - (b) only use ASCII characters;
 - (c) do not exceed the field lengths shown below at clause 3.26 of this document and exclude any opening and closing double quotation marks or comma separators;
 - (d) values shall not be padded where less than the maximum field length;
 - (e) text fields shall be enclosed with opening and closing double quotation marks, but no quotation marks shall be used in date and numeric fields; and
 - (f) blank fields shall not contain characters other than opening and closing double quotation marks for text fields.
- 3.22 Each Gas Registration Data Provider shall employ the file naming convention described below in clauses (a) to (e), ensuring that each file sent through the DCC Gateway Connection has a unique name. Within the names shown in clauses (a) to (e)

DCC PUBLIC

below: 'PN' indicates that the files are production (will be 'TN' for test); nnnnnn ~~iswill~~ be the sequence number of the file in question; and xxx ~~iswill be~~ the file type (ERR, FRJ or DXR) as detailed in clause 3.28:

- (a) Registration Data Update File:
XOS01.PNnnnnnn.XDO
- (b) Registration Data Refresh File also used for initial population:
XOS02.PNnnnnnn.XDO
- (c) Daily DCC Status Files:
DCC01.PNnnnnnn.DXI
- (d) Response Files from Daily DCC Status File processing will be:
XOS01.PNnnnnnn.xxx
- (e) Multiple file confirmation file where Registration Data has been split into multiple Registration Data Files:
XOS02.PNnnnnnn.TOK

3.23 In the circumstance where Registration Data Files need to be split into multiple files due to size limitations; each Gas Registration Data Provider shall additionally provide a multiple file confirmation file confirming the number of files within the set as defined in clause 3.26(c) of this document and with the file naming convention defined in clause 3.22(e) of this document.

3.24 Each Gas Registration Data Provider shall ensure that all files (with the exception of the multiple file confirmation file as defined in clause 3.26(c) of this document) contain header and trailer records that conform to the formats as detailed below:

- (a) File Header

Field Name	Type	Length	Description
Transaction Type	Text	3	Value: A00
Organisation Id	Numeric	10	An reference which uniquely identifies the sending organisation For example: DCC is 10005989

DCC PUBLIC

File Type	Text	3	An application specific code used to identify the structure and the usage of the file. The allowable values are:	
			XDO	Registration Data File
			ERR	Response File - record level validation failure
			FRJ	Response File - file level validation failure
			DXI	DCC Status File
			DXR	Response File - DCC Service Flag update response
Creation Date	Date	8	The date on which the file was generated. Format : YYYYMMDD	
Creation Time	Text	8	The time (UTC) at which the file was generated (within the Creation Date) Format : HHMMSS	
Generation Number	Numeric	6	A sequence number which represents an issue of a file from the Registration Data Provider or DCC (indicated by the organisation id). Each file sent either from the Registration Data Provider to DCC or from DCC to the Registration Data Provider will have a unique consecutively increasing number.	

(b) File Trailer

Field Name	Type	Length	Description
Transaction Type	Text	3	Value: Z99
Record Count	Numeric	10	The number of detail records contained within the file. This should not include the standard header and the standard trailer but should include any file specific headers if specified for this file i.e. only A00 and Z99 records are excluded.

3.25 Each Gas Registration Data Provider shall provide Registration Data Update Files to the schedule outlined in the Registration Data Interface Code of Connection irrespective of whether there are Registration Data updates to convey. Where there are no updates to provide the Registration Data Update File will contain the standard header, file sequence number and trailer and no data records.

DCC PUBLIC

3.26 Each Gas Registration Data Provider shall provide files to the DCC conforming to the following data flow structures:

(a) Registration Data Update Files

Registration Data updates shall be contained within a single file type (Ref XDO) and shall consist of up to 3 different types of data record per update as detailed below.

Where Registration Data needs to be split into multiple Registration Data Update Files due to size limitations, the data for a specific MPRN shall not be split between files.

(i) Data notifications (Ref E47, including data items for the Supply Meter Point such as address, postcode & UPRN)

Field Name	Optionality	Type	Length	Description	SEC Code reference
Transaction Type	Mandatory	Text	3	Value: E47	Not applicable
Meter Point Reference (MPRN)	Mandatory	Number	10	A unique identifier for the point at which a meter is, has been or will be connected to the gas network.	Section E2.2 (c)
MPRN Status	Mandatory	Text	2	The current status of the operability of the meter.	Section E2.2 (ed)
Source Registration Id	Mandatory	Text	3	Unique ID to identify the GT or iGT which has sent the data.	Not applicable

DCC PUBLIC

Field Name	Optionality	Type	Length	Description	SEC <u>Code</u> reference
Meter Point Address	Optional	Text	250	Standard PAF format address for the Supply Meter Point. This field will be a concatenated form of the elements of the Supply Meter Point address available. The address will be separated within the text delimiters (double quotation marks) by commas. The address will be represented in a consistent manner in the following order: Plot Number, Building Number, Sub Building Name, Building Name, Principal Street, Dependent Locality Post Town. If no address field data has been provided, the field will be blank denoted as " ,,,,,,"	Section E2.2 (g)
Meter Point Postcode	Optional	Text	9	Standard PAF post code as defined in the PAF digest. The postcode will comprise the concatenated outcode and incode, separated by a space.	Section E2.2 (g)
Market Sector Flag	Optional	Text	1	A code that specifies that the site is used for Domestic or Industrial purposes. The allowable values are: D – Domestic or I – Industrial.	Section E2.2 (h)
Unique Property Reference Number	Optional	Text	12	A unique property reference number. It is a unique reference number that can be linked to further address information that is collated and provided by the Ordnance Survey Group.	Section E2.2 (g)

DCC PUBLIC

- (ii) Organisation Notifications (Ref. E48, including details of the various organisations associated with the MPRN such as Gas Supplier, Meter Asset Manager and ~~Network Operator~~Gas Transporter).

Field Name	Optionality	Type	Length	Description	SEC reference
Transaction Type	Mandatory	Text	3	Value: E48	Not applicable
Organisation Type	Mandatory	Text	3	A three character short code denoting the role performed by the Organisation being notified as being effective at the Supply Meter Point denoted in the parent record. The allowable values are: SUP – Gas Supplier; MAM – Meter Asset Manager; NWO – Network Operator (Gas Transporter).	Section E2.2 (f)
Organisation Identifier	Mandatory	Text	3	A three character short code which is assigned by the Gas Transporter to denote the identity of the Organisation being notified as being effective at the Supply Meter Point denoted in the parent record.	Section E2.2 (f)
Organisation Effective From Date	Mandatory	Date	8	The date from which the Organisation was effective from or appointed to the Supply Meter Point denoted in the parent record. Format : YYYYMMDD	Section E2.2 (f)
Organisation Effective To Date	Optional	Date	8	Organisation's Effective To Date. Format : YYYYMMDD N.B. Where the date is '00010101', this will be treated as Null This will not be provided for Meter Asset Manager and Network Operator.	Section E2.2 (f)

- (iii) Organisation Deletions (Ref. E49, including details of the various organisations previously associated with the MPRN which are now to be

DCC PUBLIC

deleted). This record type is used to delete future dated organisations which will no longer come into effect due to other data changes. For example where a new Meter Asset Manager is due to be associated with an MPRN, but a change of supplier occurs before the effective date and the supplier assigns their own Meter Asset Manager.

Field Name	Optionality	Type	Length	Description	SEC reference
Transaction Type	Mandatory	Text	3	Value: E49	Not applicable
Organisation Type	Mandatory	Text	3	A three character short code denoting the role performed by the Organisation being notified as being effective at the Supply Meter Point denoted in the parent record. The allowable values are: SUP – Gas Supplier; MAM – Meter Asset Manager; NWO – Network Operator (Gas Transporter).	Section E2.2 (f)
Organisation Identifier	Mandatory	Text	3	A three character short code which is assigned by the Gas Transporter to denote the identity of the Organisation being notified as being effective at the Supply Meter Point denoted in the parent record.	Section E2.2 (f)
Organisation Effective From Date	Mandatory	Date	8	The date from which the Organisation was effective from or appointed to the Supply Meter Point denoted in the parent record. Format : YYYYMMDD	Section E2.2 (f)
Organisation Effective To Date	Optional	Date	8	Organisation's Effective To Date. Format : YYYYMMDD NB Where the date is '00010101', this will be treated as Null	

DCC PUBLIC

(b) Response File - DCC Service Flag update responses

Following the processing of the DCC Status File (file format described in clause 3.27(a) of this document) each Gas Registration Data Provider shall provide a Response File indicating whether each of the DCC Service Flag update records (record reference 'E45') was accepted or rejected. For each E45 record in the incoming "DXI" DCC Status File there will be a corresponding E46 record (as described immediately below) in the "DXR" Response File. If the E45 record is processed successfully, the outcome code in the E46 record will be "AC" and if unsuccessful the outcome code is "RJ".

Where the outcome is "RJ" the rejection reason will be notified to the DCC through an S72 record or records directly following the E46.

(i) The format of an E46 record is as follows:

Field Name	Optionality	Type	Length	Description						
Transaction Type	Mandatory	Text	3	Value: E46						
Outcome Code	Mandatory	Text	2	Details whether the request has been accepted or rejected. AC – Accepted RJ – Rejected.						
Meter Point Reference	Mandatory	Number	10							
DCC Service Flag	Mandatory	Text	1	Service flag provided by the DCC. The allowable values are: <table border="1" data-bbox="965 1429 1439 1550"> <tr> <td>A</td> <td>Active</td> </tr> <tr> <td>S</td> <td>Suspended</td> </tr> <tr> <td>W</td> <td>Withdrawn</td> </tr> </table>	A	Active	S	Suspended	W	Withdrawn
A	Active									
S	Suspended									
W	Withdrawn									
DCC Service Effective From Date	Mandatory	Date	8	The date the DCC Service Flag (provided above) is effective from. Format : YYYYMMDD						

(ii) The format of an S72 record is as follows:

Field Name	Optionality	Type	Length	Description
Transaction Type	Mandatory	Text	3	Value: S72

DCC PUBLIC

Rejection Code	Mandatory	Text	8	The unique reference number identifying the reason for the validation failure. One of the following two values: 'MPO00001' Supply Meter Point does not exist 'DCC00001' DCC Service Flag value is not recognised
----------------	-----------	------	---	---

(c) Multiple file confirmation file

Where Registration Data needs to be split into multiple files due to size limitations, each Gas Registration Data Provider shall provide an additional file confirming the number of files within the set.

Field Name	Optionality	Type	Length	Description
File Name	Mandatory	Text	18	
Record Count	Mandatory	Number	10	The number of detail records contained within the file. This should not include the standard header and the standard trailer but should include any file specific headers if specified for this file i.e. only A00 and Z99 records are excluded.

3.27 The DCC shall provide files to each Gas Registration Data Provider conforming to the following data flow structure:

(a) DCC Status File

To notify each Gas Registration Data Provider of DCC Service Flag updates the DCC shall send a single DCC Status File (Ref DXI) that shall consist of a single data record per update (Ref. E45). The format of an E45 record is as follows:

DCC PUBLIC

Field Name	Optionality	Type	Length	Description	SEC reference	
Transaction Type	Mandatory	Text	3	Value: E45	Not applicable	
Meter Point Reference	Mandatory	Number	10		Section E2.4 (b)	
DCC Service Flag	Mandatory	Text	1	Service flag provided by the DCC. The allowable values are:	Section E2.4 (b)	
				A		Active
				S		Suspended
				W		Withdrawn
DCC Service Effective From Date	Mandatory	Date	8	The date the DCC Service Flag (provided above) is effective from. Format : YYYYMMDD	Section E2.4 (b)	

DCC PUBLIC

3.28 Each Gas Registration Data Provider shall create and send the Response Files as defined in clause 3.28 (a) and (b) below, in response to failures in validation of the DCC Status File. On receipt of the Response File DCC shall raise an Incident as defined in the Data Incident Management Policy.

(a) Record level format failure Response File

To record any record level format validation errors found in processing the DCC Status File, the Gas Registration Data Provider shall create a Response File with header and trailer as defined in clause 3.24 of this document and one or more record level error records as detailed below. The file name will be as defined in clause 3.22(d) of this document with suffix 'ERR'.

Field Name	Optionality	Type	Length	Description
Transaction Type	Mandatory	Text	3	Value: E01
Rejection Code	Mandatory	Text	8	The unique reference number identifying the reason for the validation failure as defined in the Error Code under clause 3.28(c)
File Reference	Mandatory	Number	10	The unique reference number of the file that was received and processed.
Rejection Description	Mandatory	Text	250	Description of the error found and which record/field it occurred as defined in the Rejection Reason under clause 3.28(c).

(b) Response File - File level rejection

To record any file level format validation errors found in processing the DCC Status File, the Registration Data Provider shall create a Response File with header and trailer as defined in clause 3.24 of this document and the file name will be as defined in clause 3.22(d) of this document with suffix 'FRJ'. File level validation failures will be contained within a single file and will consist of 2 different types of data record per file – Rejected File (record reference S71) and Rejection Details (record reference S72). There will be one S71 record followed by one or more S72 records.

DCC PUBLIC

(i) The format of an S71 record is as follows:

Field Name	Optionality	Type	Length	Description
Transaction Type	Mandatory	Text	3	Value: S71
File Reference	Mandatory	Text	30	The unique reference number of the file that was received and processed.

(ii) The format of an S72 record is as follows:

Field Name	Optionality	Type	Length	Description
Transaction Type	Mandatory	Text	3	Value: S72
Rejection Code	Mandatory	Text	8	The unique reference number identifying the reason for the validation failure as defined in the Error Code under clause 3.28(d)

(c) Record level - Error codes

Error Code	
CSV00010	Transaction type not recognized - <Record identifier>
CSV00011	Invalid character - <Record identifier>, <Field number>
CSV00012	Invalid numeric field , <Record identifier>, <Field number>
CSV00013	Premature end of record - <Record identifier>
CSV00014	Invalid record termination - <Record identifier>
CSV00015	Invalid text field - <Record identifier>, <Field number>
CSV00019	Record too short - <Record identifier>
CSV00020	Mandatory field expected - <Record identifier>, <Field number>
CSV00021	Invalid Date/Time field - <Record identifier>, <Field number>
CHK00036	Mandatory record not supplied - <Record identifier>

DCC PUBLIC

(d) File level - Error codes

Error Code	
FIL00013	Organisation ID on header cannot be found
FIL00014	Organisation ID on the header does not match the sender's ID
FIL00015	File type on the header is not the same as that in file name
FIL00016	Generation number on the header is not the same as that in file name
FIL00017	A file has previously been received & processed with this generation number
FIL00018	A count of detail records in the file does not match that held on the trailer
FIL00019	Invalid record type found